



Financial & Tax Architects, Inc.

12412 Powerscourt Drive, Suite 25

Saint Louis, MO 63131

(314)-858-1122

www.fta-ria.com

Form ADV Part 2B

Benjamin Rucker

March 1st, 2022

This brochure supplement provides information about Benjamin Rucker that supplements Financial & Tax Architects, Inc.'s brochure. You should have received a copy of that brochure. Please contact Steve Frontczak, Chief Legal Officer, if you did not receive Financial & Tax Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Benjamin Rucker (CRD #406697) is available on the SEC's website at www.adviserinfo.sec.gov.

Brochure Supplement – Form ADV Part 2B

Benjamin Rucker

Investment Advisor Representative

Item 2 Educational and Business Background

Educational Background:

- No post-secondary education.

Professional Certifications:

Certified Financial Planner® - CFP®

Issuing Organization: Certified Financial Planner Board of Standards, Inc. (CFPBS)

Prerequisites/Experience Required: Must have a bachelor's degree (or higher) from an accredited college or university, and three years of full-time personal financial planning experience.

Educational Requirements: Must complete a CFP®-board registered program or actively hold one of the following: CPA®, ChFC®, CLU®, CFA®, Ph.D. in business or economics, Doctor of Business Administration, or an Attorney's License.

Continuing Education: 30 hours every 2 years

Business Experience:

- Professional Group, Inc.; Founder and President; 06/1976 – Present
- Financial and Tax Architects, Inc.; Investment Advisor Representative; 06/2021 – Present

Item 3 Disciplinary Information

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Benjamin Rucker is a Maryland licensed insurance agent with Professional Group, Inc. which is based in Maryland. Mr. Rucker founded Professional Group, Inc. and is its President. He devotes approximately 70% of his time to his insurance practice. In his capacity as an agent, he provides advice on various insurance products. Mr. Rucker only sells insurance products in states where he is properly licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Rucker to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Rucker's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase

any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

Item 5 Additional Compensation

Mr. Rucker does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Mr. Rucker is not paid performance-based fees.

Item 6 Supervision

Steve Frontczak is the Chief Compliance Officer of Financial & Tax Architects, Inc. Mr. Frontczak supervises the advisory activities of Mr. Rucker. He can be reached by phone at (314) 858-1122 or by email at compliance@fta-ria.com